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# An assessment of NO<sub>x</sub> emissions during transient diesel engine operation with biodiesel blends

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7 Abstract: The target of the present work is to review the literature regarding the effects of 8 biodiesel blends on nitrogen oxide (NOx) emissions during the transient operation of diesel 9 engines (acceleration, load increase, starting, driving cycles). The most important 10 mechanisms are analyzed based on the fundamental aspects of transient conditions, and 11 on the impacts the biodiesel physical and chemical properties have relative to the 12 conventional diesel oil; emphasis is also placed on biodiesel feedstock and driving cycle 13 effects. In parallel, a comprehensive statistical analysis is presented regarding biodiesel 14 blends effects on NO<sub>x</sub> emissions for engines running on transient cycles during the last 30 15 years. For the majority of the reviewed transients, an increasing trend in NO<sub>x</sub> emissions is 16 established when the biodiesel ratio in the fuel blend increases. Moreover, the biodiesel 17 NO<sub>x</sub> emission penalty seems to increase for more aggressive cycles and for increasing 18 feedstock unsaturation.

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Keywords: Diesel engine; Biodiesel; Transient operation; Nitrogen oxides; Driving cycle;
 Turbocharger lag

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## 31 Introduction

32 Depleting reserves and rising prices of crude oil, as well as gradually stricter emission 33 regulations and greenhouse gas concerns have led to a growing effort to develop alternative fuel sources; the emphasis is on biofuels on account of their renewability
(Couse 1992; Hansen et al. 2009). Particularly for the transportation sector, biodiesel
(methyl or ethyl ester) has emerged as a very promising solution, since it possesses
similar properties with the diesel fuel, it is miscible with diesel at any proportion, and is
compatible with the existing distribution infrastructure (Demirbas 2007; Agarwal 2007;
Giakoumis et al. 2012; Komninos and Rakopoulos 2012).

40 The use of biodiesel during diesel engine operation has been researched intensively 41 during the last decades (e.g. Choi et al. 1997; Graboski and McCormick 1998; 42 Rakopoulos et al. 2006; Agarwal 2007; Tsolakis et al. 2007; Lapuerta et al. 2008; Pinzi et 43 al. 2009; Rakopoulos 2012). The majority of the researchers report decreases in the 44 emitted particulate matter (PM)/soot, unburned hydrocarbons (HC) and carbon monoxide (CO). In contrast to the carbonaceous pollutants, however, slight to moderate increase of 45 46 the nitrogen oxides (NOx) is usually documented (Graboski and McCormick 1998; 47 Lapuerta et al. 2008; Ayhan 2012). The exact percentage of emission change relative to the neat diesel operation depends on the biodiesel percentage in the fuel blend and the 48 49 specific experimental schedule (US EPA 2002; Giakoumis 2012). One of the peculiarities 50 of biodiesel with respect to other biofuels is the fact that it can be produced from a variety 51 of feedstocks. Since each originating oil or fat is characterized by different composition, it 52 is not surprising that the properties of the final ester differ substantially (Knothe 2005; 53 Hoekman et al. 2012; Giakoumis 2013), as is representatively documented in Table 1 in 54 comparison to the reference diesel fuel. Unsurprisingly, the disparity in the properties has 55 also been found to influence the NO<sub>x</sub> emissions from biodiesel-blended diesel engines 56 (Graboski and McCormick 1998; Lapuerta et al 2008; Giakoumis et al. 2012).

The diesel engine has for many decades dominated the medium and medium-large 57 58 transport sector. During the last years it is also rapidly establishing itself in the highly 59 competitive automotive market (particularly in Europe) based on its reliability, fuel 60 efficiency and turbocharging capability. Although the study of diesel engines usually 61 focuses on the steady-state performance, it is its transient (dynamic) operation in the form 62 of speed and load changes and starting that best illustrates the actual daily driving conditions (Watson and Janota 1986; Rakopoulos and Giakoumis 2006, 2009). The key 63 influencing feature during dynamic operation is that both the engine speed and the fuel 64 65 supply to the engine cylinders change continuously. Thus, the available exhaust gas 66 energy varies, affecting the turbine enthalpy drop and, through the turbocharger shaft 67 torque balance, both the boost pressure and the cylinder air-supply are influenced too.

Unfortunately, due to various dynamic, thermal and fluid delays in the system, mainly originating in the turbocharger moment of inertia ('turbocharger lag'), combustion air-supply is delayed compared to fueling. This affects adversely the torque build-up and ultimately worsens the vehicle driveability and increases considerably the exhaust emissions, in particular PM and NO<sub>x</sub> (Wijetunge et al 1999; Hagena et al. 2006; Rakopoulos and Giakoumis 2006, 2009; Rakopoulos et al. 2010).

74 It is well established today that nitrogen oxides together with PM form the most 75 harmful pollutants produced by diesel engines (Heywood 1988). NOx consist mostly of 76 nitric oxide NO and nitrogen dioxide NO<sub>2</sub>, playing an important role in the atmospheric 77 ozone formation. The production of nitrogen oxides is strongly dependent on temperature 78 (thermal NO<sub>x</sub>), residence time of the mixture at high temperatures, and local concentration 79 of oxygen; other notable factors are the injection timing and the fuel properties. Since the 80 gas temperature is the dominant contributor for the production of NO<sub>x</sub>, the most successful 81 reduction methods aim at lowering the (peak) cylinder temperatures. This is generally 82 achieved by retarding the injection timing or applying exhaust gas recirculation (EGR). The latter, although very successful, is unfortunately associated with an increase in the 83 84 amount of the emitted PM. Thus, the usual ECU (engine control unit) strategy during transients aims at shutting down the EGR valve in order to aid the build-up of the air-fuel 85 86 ratio and boost pressure, and limit intolerable smoke emissions (Rakopoulos and 87 Giakoumis 2009). It is not surprising then that during transients, an overshoot of NO<sub>x</sub> 88 emissions is usually experienced.

89 The target of the present work is to review the literature regarding the impacts of 90 diesel-biodiesel blends on the transient NO<sub>x</sub> emissions from diesel engines; the very critical (dynamic) conditions will be covered that are encountered during the daily 91 92 engine/vehicle operation i.e., acceleration, load increase, starting and in the collective 93 form of transient cycles. The analysis will focus on the differing physical and chemical 94 properties of the various blends against those of the conventional diesel fuel. Moreover, 95 emphasis will be placed on the specific discrepancies experienced during transients, most 96 notably in the form of turbocharger lag. In order to support the arguments raised and further the analysis, the results from a recent detailed statistical analysis on biodiesel 97 blends emissions during driving cycles (Giakoumis 2012a) will also be presented. 98

#### 99 NO<sub>x</sub> Emissions with Biodiesel Blends Combustion during Transients

#### 100 Overall Results

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102 In 2002, the US Environmental Protection Agency (EPA) published a comprehensive 103 analysis of biodiesel impacts on exhaust emissions. The available at the time emissions 104 data (mainly North-American manufactured, heavy-duty engines running on SME blends 105 during the American FTP cycle) were statistically analyzed in order to quantify the effects 106 of biodiesel blends on all regulated pollutants (US EPA 2002). Recently, an update of 107 these formulas was accomplished (Giakoumis 2012). A large amount of data was carefully 108 collected from 67 papers, published in international Journals, well established 109 Conferences and final Reports issued by renowned research centers up to the end of 110 2011, all dealing with driving cycles experimentation. In order for the results to be 111 representative of the true engine operation, hence be realistic and applicable to other 112 engines/operating conditions, only those experiments that focused on transient cycles (i.e. 113 truly transient conditions, as the ones experienced by the diesel-engined vehicles in their 114 daily operating schedule) were taken into account. All of the studies concerned four-115 stroke, direct injection engines running on various chassis or engine dynamometer cycles. 116 The database contains a representative mix of American, European and Japanese 117 engines/vehicles and of real-world biodiesel feedstocks; details, as well as a complete list 118 of the respective publications is available in Giakoumis (2012).

119 Figure 1 illustrates the complete set of the gathered transient NO<sub>x</sub> observations 120 corresponding to all types of engines, driving cycles, biodiesel feedstocks and blend 121 ratios. Roughly two thirds (66.5%) of the measurements concerned positive (increasing) 122 NO<sub>x</sub> emissions with biodiesel in the fuel blend over the reference petrodiesel operation, 123 and one third concerned decreases, as is further documented graphically in Fig. 2. 124 Specific statistical data for the most investigated biodiesel blends are also provided inside 125 Fig. 1. From this data it is made obvious that the standard deviation increases, almost 126 steadily, as the biodiesel content in the fuel blend increases, a fact that highlights in an 127 explicit way the observed differences within the biodiesel blends tested.

Moreover, Fig. 3 summarizes the cumulative effects of biodiesel blends on transient NO<sub>x</sub> emissions by providing a best-fit approximation from all collected measurements of Fig. 1. From Fig. 3, a moderate increasing NO<sub>x</sub> trend with rising biodiesel blend is established, as was also the result of the earlier EPA graph demonstrated in the same figure for comparison. It is surprising that although a considerable amount of new data (from 2000 up to 2011) has been included in the current statistical analysis, the earlier best-fit curve remains practically unchanged for blend ratios up to 50%. Nonetheless, due to the considerable disparity in the measured data, the coefficient of determination was found very low (0.13). Higher R<sup>2</sup> values were established however for specific engines or engine cycles or biodiesel feedstocks, as is documented in Table 2 that summarizes all best-fit curves data; the latter will be discussed later in the text.

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## Main Mechanisms and Trends during Transients

The higher cetane number of biodiesel in contrast to the conventional diesel is suspected to cause a decrease in the emitted NO<sub>x</sub> for certain engines and originating biodiesel oils or under specific conditions, most notably premixed-controlled combustion typical under light-load operation (Zhang and Boehman 2007). This fact may be due to the relatively shorter ignition delay and thus shorter duration of premixed combustion. To this end also contributes the lower biodiesel volatility (which makes the evaporation process slower with respect to that of the diesel fuel) and the absence of aromatic compounds in the biofuel.

148 Nonetheless, the majority of researchers have argued towards an increasing NO<sub>x</sub> 149 production trend during transients, as has also been the (usual) finding during steady-150 state operation (Graboski and McCormick 1998; Lapuerta et al. 2008). Representative 151 results are demonstrated in Fig. 4 for two typical discrete automotive transients, namely 152 an acceleration and a hot starting. The reasons for this increasing trend of NOx with rising 153 biodiesel percentage are primarily temperature related, i.e. higher local gas temperatures 154 with biodiesel are established compared with petrodiesel that originate in injection, 155 combustion and engine calibration grounds (Mueller et al. 2009; Sun et al 2010; 156 Giakoumis et al. 2012); a summarization and brief description follows.

157 Biodiesel fuels are characterized by higher bulk modulus of compressibility, speed of 158 sound and surface tension. This means that when a diesel-tuned engine is run on 159 biodiesel blends, a faster wave propagation and pressure rise inside the hydraulically 160 operated injectors is experienced that leads to an earlier needle lift (of the order of 0.5-2° 161 crank angle) (Tat et al. 2000). This, in turn, increases the duration of the premixed 162 combustion, the pressures and temperatures during diffusion combustion and ultimately 163 the total residence time in the cylinder (Szybist et al 2007). Nonetheless, this injection 164 advance effect appears to be applicable mostly to pump-line nozzle and unit injector 165 systems (Tsolakis et al. 2007). Interestingly, Cheng at el. (2006) revealed that a 166 hydrocarbon fuel with the same ignition delay, injected at the same timing as a neat biodiesel, still produced 10% lower load-averaged NO<sub>x</sub> than the biodiesel. Hence, there
are probably other, inherent in the biodiesel combustion, issues that may be be more
influential than injection timing.

170 Musculus (2005) revealed another contributing cause for the high local gas 171 temperatures with biodiesel combustion, by showing that actual flame temperatures inside 172 the cylinder may be influenced by differences in soot radiative heat transfer. The formation 173 of soot particles in the combustion chamber radiates heat that lowers the combustion 174 temperatures, subsequently decreasing the emitted NO<sub>x</sub>. Since the oxygen in a biodiesel 175 blend is well known to decrease soot (Graboski and McCromick 1998; Agarwal 2007; 176 Lapuerta et al. 2008; Giakoumis et al. 2012) thus reducing the radiative heat transfer 177 term, higher local gas temperatures are expected that in turn favor greater  $NO_x$  penalty.

178 The fact that fuel injectors operate on a volumetric rather than gravimetric basis 179 means that if a diesel-tuned engine runs on biodiesel blends, a larger mass of fuel will be 180 injected (approximately 5% according to Table 1 for neat biodiesel combustion). At the 181 same time, owing to its inherent oxygen concentration, biodiesel combustion will lead to 182 an 10–12% increase in the available oxygen provided that the engine retains its diesel-fuel 183 calibration. Consequently, an increase of the order of 6% in the air-fuel equivalence ratio 184 (lambda) is expected. This can prove particularly influential for increasing  $NO_x$  emissions 185 during transients, where lower than unity values of lambda are experienced. During a 186 typical turbocharged diesel engine transient event (e.g. acceleration), the fuel pump rack 187 responds almost instantly to the fueling increase command and shifts to its maximum 188 position, leading to higher fueling. However, the increased exhaust gas power produced 189 by the engine is not capable of instantly raising the turbine power output, largely (but not 190 exclusively) owing to the turbocharger inertia (Watson and Janota 1986; Rakopoulos and 191 Giakoumis 2006; 2009). Hence, the compressor operating point moves rather slowly 192 towards the direction of increased boost pressure and air-mass flow rate; during this 193 period, known as *turbocharger lag*, the engine is practically running in naturally aspirated 194 mode or with very limited boost. As a result of this slow reaction, the air-fuel equivalence 195 ratio during the early cycles of an acceleration event assumes very low values that are 196 usually lower than stoichiometric. During biodiesel combustion, on the other hand, the 197 excess oxygen inherent in the blend (numerator in the definition of lambda) vs. the 198 increased mass of injected biodiesel blend (denominator) will lead to higher values of the 199 air-fuel equivalence ratio values that will now be closer to stoichiometry. Consequently, 200 higher gas temperatures will be promoted, since, as is well established (Law 2006), the

adiabatic flame temperature peaks at conditions slightly rich of stoichiometric. Thus,
 higher NO<sub>x</sub> emissions are more likely to be observed for biodiesel blended engines, at
 least when steep transients are involved as the ones illustrated in Fig. 4.

204 Another key contributing factor in modern engines is the ECU calibration that may 205 dictate a different injection strategy (longer injection pulse-width) based on the lower 206 heating value of biodiesel, if an engine that is tuned for diesel operation is required to run 207 on biodiesel (Sun et al. 2010). An even more prominent demonstration of the ECU's 208 influence is actually located in the exhaust gas recirculation (EGR) system. When an 209 engine that has been calibrated for neat diesel operation runs on biodiesel, more fuel is 210 required to achieve the demanded torque/vehicle speed, hence a lower EGR rate is 211 established, elevating both the in-cylinder temperatures and the NO<sub>x</sub> emissions (Lujan et 212 al. 2009; Bannister et al. 2010). The experimental results from Sze et al. (2007) lend 213 support to this argument. They found that the lower calorific value of biodiesel affected 214 'throttle' position up to 3.3% relative to the petrodiesel operation, which ultimately 215 decreased the EGR rate up to 10.5% (depending on the driving cycle) for the cases 216 examined, as is illustrated in the upper sub-diagram of Fig. 5. At the same time, other 217 engine variables such as the fuel rail pressure, the VGT position and the boost pressure 218 were influenced too.

Lastly, differences in the chemical kinetic pathways that are responsible for the production of prompt NO, when biodiesel is used have been reported that may contribute to the higher biodiesel NO<sub>x</sub> emissions. Such arguments typically rely on increased levels of CH being produced at the auto-ignition zone during biodiesel combustion, which leads to the production of N-atoms in the jet core followed by prompt NO formation, once the mixture is convected to the diffusion flame, where O<sub>2</sub> and OH are present (Mueller et al. 2009).

## 226 Effects of Engine Type and Driving Cycle

The effect of the driving cycle's characteristics (i.e. its average power or its aggressiveness) on the NO<sub>x</sub> emissions was investigated by Sze et al. (2007), who studied a 2006 MY (model year) engine operating on 7 different heavy-duty engine and chassisdynamometer cycles. It was found that the changes in the emitted NO<sub>x</sub> from biodiesel combustion correlated very well with the average cycle power ( $R^2$ =0.99) and the fuel consumption ( $R^2$ =1.0) of the engine. The results obtained from this study are reproduced in the lower sub-diagram of Fig. 5, documenting that higher NO<sub>x</sub> penalty for biodieselfueled engines was experienced with increasing average transient-cycle power.

235 The apparent reasons for the higher biodiesel NO<sub>x</sub> liability with increasing cycle power 236 (or cycle aggressiveness) are located in the primary  $NO_x$  production mechanism. In a 237 diesel engine for the torque to increase, higher amount of injected fuel is required. This in 238 turn, leads to lower air-fuel equivalence ratios and finally higher gas temperatures. 239 Likewise, a more aggressive cycle (or driving pattern in general) is characterized by more 240 frequent and abrupt accelerations or load increases; the latter pave the way for higher 241 NO<sub>x</sub> too owing to the harsher (or more frequent) turbocharger lag phases and the lower 242 EGR rates they induce (Wijetunge et al. 1999; Rakopoulos and Giakoumis 2009). Take for 243 example the results in the upper sub-diagram of Fig. 5, where the more highly-loaded 244 HWY (highway) cycle results also in greater EGR valve decreases with rising biodiesel 245 blend than the lighter-loaded UDDS. Similar conclusions have been reached by many 246 other researchers in the field, who almost regularly measured higher NO<sub>x</sub> emissions over 247 the more aggressive driving patterns compared to the 'softer' ones (summarized in 248 Giakoumis et al. (2012)).

Figure 6 demonstrates the collective NO<sub>x</sub> emissions results from the conducted statistical analysis regarding the effects of engine and dynamometer type and driving schedule. The light-duty results (almost exclusively NEDC) differentiate considerably from the rest of the engine-related curves in Fig. 6, exhibiting higher NO<sub>x</sub> liability for all the tested biodiesel blends despite the NEDC's rather 'soft' profile. This behavior, that seems to contradict the previously discussed results from Sze et al. (2007), can be explained based on the following facts:

most of the NEDC experiments include modern passenger car engines fitted with
 EGR (MY>2000), which tends to increase NO<sub>x</sub> emissions, when an increasing
 biodiesel blend ratio is applied, and

this cycle has a higher portion of extra-urban segment, where the majority of NO<sub>x</sub> is
 emitted, a fact that actually strengthens the previous point.

The significant importance of EGR is reflected into the fact that the light-duty and the post 2000 MY results are quite similar in their trend and absolute values. This can be explained by the fact that engines manufactured during the 2000s were systematically equipped with EGR (see also the percentage of measurements reporting increasing NO<sub>x</sub> emissions with biodiesel over petrodiesel in Fig. 2).

266 An even more prominent differentiation from the general 'average' NO<sub>x</sub> trend in Fig. 6 267 is exhibited by the heavy-duty, chassis-dynamometer cycles (mostly the American UDDS), 268 where, oddly, a moderately negative trend for NO<sub>x</sub> emissions with biodiesel blending is 269 established. This means that a NO<sub>x</sub> benefit over the reference diesel operation was 270 measured with biodiesel blends combustion. As mentioned earlier, the underlying reasons 271 may be located in the light loading of the UDDS cycle, which tends to increase the 272 premixed portion of combustion over the diffusion one, (where NOx are primarily 273 produced). Notice also the UDDS results in the upper sub-diagram of Fig. 5, where, 274 interestingly, an increase in the EGR rate is evident. The latter arguments can be 275 supported by the fact that 'only' 40% of the total  $NO_x$  measurements from heavy-duty, 276 chassis-dynamometer cycles correspond to emission increases when biodiesel is added 277 in the fuel blend (Fig. 2). This leaves an impressive 60% of the measurements showing 278 NO<sub>x</sub> benefits over the neat diesel operation. In contrast, as was demonstrated in Fig. 2, 279 66.5% from all data and 77.9% from heavy-duty, engine-dynamometer measurements 280 concerned NO<sub>x</sub> increases with biodiesel addition in the fuel blend.

281 On the other hand, it is the results from the heavy-duty, engine-dynamometer cycles 282 that exhibit the highest cohesion ( $R^2=0.54$  in Table 2 – incidentally, the same was found 283 true for the PM, CO and HC emissions). This can be straightforwardly explained by the 284 fact that these measurements have minimum disparity since they primarily concern north-285 American engines (from a handful of manufacturers) running on SME blends during the 286 FTP cycle. In contrast, for the NEDC results, each investigation corresponds practically to 287 a different engine/car, and there is a great variety of tested methyl esters. As a result, the 288 obtained data are scattered and controversial (R<sup>2</sup>=0.24 in Table 2).

#### 289 Effects of Biodiesel Feedstock

290 The chemical composition of biodiesel is dependent upon the length and degree of 291 unsaturation of the fatty acid alkyl chains. Acids may be saturated, which means that they 292 contain only single bonds, or unsaturated, i.e. they contain at least one double bond 293 (Graboski and McCormick 1998). A usual measure of the biodiesel's degree of 294 unsaturation is the iodine number (IN) or iodine value (IV). Since the composition of the 295 originating oils/fats varies considerably, it is not surprising that the physical and chemical 296 properties of biodiesel differ substantially (Table 1). Hence, one of the most intriguing 297 points regarding biodiesel, is the possible influence the different fuel properties may have 298 on the (NO<sub>x</sub>) emissions. In order to relate the differences in the molecular structure 299 between the various methyl esters, the degree of unsaturation or the number of double 300 bonds was chosen as the influential parameter. Table 3 provides relevant data 301 (unsaturation level, chain length and iodine number (IN)) from a recently conducted 302 statistical survey (Giakoumis 2013), useful for the discussion that follows.

303 Peterson et al. (2000) studied biodiesel blends from 6 different real-world feedstocks 304 (safflower, rapeseed, soybean, mustard, coconut, hydrogenated soybean) during the hot 305 UDDS chassis-dynamometer cycle, and identified a statistically significant correlation 306 between iodine number and NO<sub>x</sub> emissions ( $R^2=0.89$  – upper graph in Fig. 7. This 307 resulted in 29.3% increase in NO<sub>x</sub> from IN=7.88 (coconut methyl ester) to 129.5 (SME) for 308 the neat blends, and 8.3% increase for the B20 blends. Evidently, the higher order double 309 bonds were increasingly important in producing NOx. Comparable observations were valid 310 for the cold UDDS although the feedstock sample was smaller. Recently, Mueller et al. 311 (2009) found that B100 (steady-state) combustion conditions were closer to stoichiometric 312 during ignition and in the standing premixed auto-ignition zone near the flame lift-off length 313 at higher loads than was the case with petrodiesel. This finding might shed light into the 314 fact why highly saturated biodiesels produce lower NOx, since fuels with high cetane 315 numbers (i.e. saturated) lead to mixtures farther from the stoichiometry.

316 In parallel, McCormick et al. (2001) studied a group of 21 different biodiesel fuels 317 (comprising of 7 real-world feedstocks and 14 pure fatty acid methyl and ethyl esters) 318 during the FTP cycle. A highly significant relation between NO<sub>x</sub> and density was found (not 319 confirmed, however, by subsequent research on an equally significant level), with the 320 single parameter explaining 88% of the variance. The relationship between CN and  $NO_x$ 321 emissions indicated that a NOx-neutral biodiesel, relative to the certification diesel (used at 322 the time of the measurements), would have a cetane number of 68. The dataset included 323 also direct comparisons of fuels with differing numbers of double bonds in the fatty acid 324 chain. A highly linear relationship ( $R^2$ =0.93) between IN and NO<sub>x</sub> emissions during the 325 FTP was established, as is illustrated in Fig. 7 (circular symbols); the regression predicted 326 that a biodiesel with an IN=38 (i.e. 1.5 double bonds/molecule) would actually be NOx-327 neutral relative to the certification diesel used.

328 It was concluded that high-stearate fuels with few double bonds produced significantly 329 less NO<sub>x</sub> than certification diesel. Unfortunately, these (saturated) materials have poor 330 cold-flow properties and some are even solid at room temperature. Interestingly, a later 331 study by McCormick et al. (2005), this time on a newer production engine, showed that

B100 tests, in general confirmed the above results, although the  $R^2$  was found lower this time, of the order of 0.83 (Fig. 7); the latter implied EGR and fuel injection system effects too, primarily through the weaker influence of the biodiesel's higher bulk modulus of elasticity relative to the neat diesel fuel. Similar observations concerning the effect of unsaturation on NO<sub>x</sub> emissions have been reported by Knothe et al. (2006) and Bakeas et al. (2011); the respective best-fit curves are also illustrated in Fig. 7.

338 Unfortunately no statistically significant direct comparison between the various 339 biodiesel feedstocks is feasible from the available database in order to substantiate the 340 previous findings. This is primarily due to the fact that although SME has been popular in 341 all types of investigations (but mostly on the American FTP), the rapeseed methyl ester 342 has been primarily employed in European studies of the NEDC, all of which have been 343 conducted during the last years covering a great variety of modern, EGR equipped 344 engines/vehicles (Giakoumis 2012; Giakoumis et al. 2012). Comparing the whole SME 345 database with its RME counterpart would be, to a large extent, like comparing FTP with 346 NEDC measurements, at least for the present level of statistical analysis. To this aim, the 347 left sub-diagram of Fig. 8 only provides, for the sake of completeness, a comparison 348 between the vegetable, SME and non-vegetable (i.e. animal fat but also waste cooking 349 derived) data from all available transient studies and engines.

350 The only test cycle for which a healthy variety of methyl esters has been investigated 351 is the NEDC, but the great disparity of the tested engines/vehicles, makes such a 352 comparison dubious, that is why it has not been performed. Nonetheless, in the right sub-353 diagram of Fig. 8, a comparison is attempted as to the effect of biodiesel feedstock on 354 NO<sub>x</sub> emissions from all heavy-duty data (engine and chassis-dynamometer ones), which, have been found to exhibit the least data variance. It is the RME blends in heavy-duty 355 356 experimentations that demonstrate the most 'erratic' behavior, with NOx emission 357 decreases (and PM increases) that differentiate considerably from the general tendency. 358 For this trend, it is the results of Peterson et al. (2000), who systematically used RME 359 blends in their research that are mostly responsible. Unfortunately, there are only a few 360 RME FTP results available to confirm or refute this behavior. From the rest of the data, no 361 clear conclusions can be reached as regards the effects of SME, animal fat (AFME) and waste cooking methyl esters (WCME) on the emitted NO<sub>x</sub>. 362

#### 363 Methods for Compensating the NO<sub>x</sub> Increase

364 In view of the arguments discussed previously that correlate NO<sub>x</sub> emissions with cetane 365 number, some researchers investigated the effect of ignition improvers. For example, Sharp et al. (2000) found that the addition of ethyl-hexyl-nitrate (EHN) was unsuccessful 366 367 for a B20 SME blend, whereas di-tert-butyl peroxide (DTBP) could lower NOx by 6.2%, 368 while maintaining the 9.1% PM reduction during the FTP. Nuszkowksi et al. (2009) 369 measured decreasing NO<sub>x</sub> with increasing both 2-EHN or DTBP, with the greater impact 370 observed on older technology (1990s) engines; McCormick et al. (2003) too identified the 371 positive effects of both additives during the FTP.

372 Graboski et al. (1996) proposed that NO<sub>x</sub>-neutral blends with biodiesel could be 373 produced by altering either the base-fuel aromatic content or the natural cetane number. 374 To this aim, a low-aromatic fuel (23.9%) was treated with biodiesel (to make a B20 blend), 375 and a small amount of cetane (n-hexadecane) was applied to raise the cetane number of 376 the test blend to the same value as the reference fuel. The lower aromatic content of the 377 fuel compared to the certification fuel was enough to offset the NO<sub>x</sub> increase produced by 378 the biodiesel. At the same time, the particulate matter was reduced relative to the 379 certification fuel by 24%. It could be argued that the particulate benefit was due to the 380 properties of the base stock and not the biodiesel, since reducing the aromatic content 381 also reduces particulate matter. However, the particulate emission for the biodiesel/low 382 aromatic blend was 14% below that from the low aromatic base stock alone. This 383 suggested that fuel reformulation with oxygen and aromatic control is a route to producing 384 NO<sub>x</sub>-neutral and PM reducing fuels. The same research group in a more recent study 385 (McCormick et al. 2003) found that lowering the base fuel aromatics content from 31.9% 386 to 7.5% for a SME B20 blend managed to reduce NO<sub>x</sub> by 6.5%. Linear interpolation of 387 their results suggested that a B20 fuel based on petroleum diesel with 25.8% aromatics 388 would be equivalent in NO<sub>x</sub> emissions to a certification diesel fuel with 31.9% aromatics. 389 From the same study it was also concluded that the use of kerosene as the base fuel 390 could lead to a NOx-neutral blend (this occurs at 40% biodiesel, assuming linearity). The 391 antioxidant TBHQ was also effective, but NO<sub>x</sub> reduction was small at the level tested, and 392 TBHQ was suspected to increase PM emissions. It was concluded that the use of 393 antioxidants as NO<sub>x</sub> reduction additives was something that could be explored in more 394 detail.

395 McCormick et al. (2001) performed also one direct comparison of the impact of chain 396 length on NO<sub>x</sub> emissions. A series of saturated methyl esters based on lauric, palmitic and 397 stearic (all with zero double bonds) acids was prepared and tested; ethyl stearate was 398 also examined. On the basis of this comparison, it was concluded that shorter chain esters 399 produced higher NO<sub>x</sub> emissions during the FTP; still the fully saturated methyl laurate 400 produced NO<sub>x</sub> at or below the certification fuel level. Therefore, it was postulated that 401 shortening of the hydrocarbon chain may be a route to NO<sub>x</sub>-neutral fuels with improved 402 properties. In any case, although for the pure fatty acid esters, an inter-dependence 403 between various properties and chain length is valid, this is barely the case for biodiesels. 404 For the latter, which are mixtures of a variety of pure fatty acid esters, the correlation with 405 chain length weakens considerably, as is documented in Table 3.

406 Based on the knowledge gained from the studies that correlated the biodiesel 407 feedstock with the NO<sub>x</sub> emissions, some researchers investigated the possibility of an 408 ideal triglyceride feedstock design. A biodiesel with a combination of one or zero double 409 bonds to minimize NO<sub>x</sub> production, and a low pour point to improve cold weather operation 410 would have both environmental and climatic advantages (Peterson et al. 2000). To this 411 aim, Chapman et al. (2003) investigated two approaches in order to achieve the saturation 412 of the biodiesel fuel: blending and hydrogenation. The blending approach involved the 413 blending of short-chained, saturated methyl esters with biodiesel fuel, i.e. a blend of 60% 414 capryllic acid methyl ester and 40% capric acid methyl ester, was chosen so that its short 415 hydrocarbon chain length would help to offset the adverse effect of saturation on cold-flow 416 properties. This approach was found to produce a 2.8% reduction in NO<sub>x</sub> emissions over 417 all examined modes, and also improved the fuel's cold flow property. Unfortunately, the 418 high cost of the saturated methyl esters renders it economically unfeasible. The second 419 approach was the hydrogenation of soybean oil prior to transesterification. To produce a 420 blend that will reduce NO<sub>x</sub> while not increasing the cloud point, a high selectivity must be 421 achieved during hydrogenation. It was concluded that further research should be 422 conducted to determine the exact conditions for hydrogenation, such as temperature, 423 catalyst type and loading, and pressure in order to produce the desired fatty acid chains.

In modern, electronically controlled engines, a notable compensation of NO<sub>x</sub> emissions could be achieved through a revised engine calibration with regards to the injection system or the EGR control. A notable example is provided by Magand et al. (2011), who studied the performance of an engine during the NEDC. They found that when the ECU is appropriately re-adjusted to cater for the different physical and chemical

properties of their biofuel blend, the initially high NO<sub>x</sub> penalty (60%) relative to the reference diesel fuel was reversed into an impressive benefit of almost 22%, without sacrifice in the PM reduction. Likewise, Ireland et al. (2009) applied both EGR and injection timing recalibration during steady-state tests in order to reduce NO<sub>x</sub> from biodiesel combustion, while maintaining the PM at levels lower than that of petrodiesel operation, however at the expense of fuel efficiency.

Even though the use biodiesel alone cannot prove sufficient to achieve the current or future PM emission levels (i.e. without the need for DPF), the inherent capacity of methyl esters (and biofuels in general) to decrease PM by a large percentage, provides higher flexibility in controlling NO<sub>x</sub> emissions. This can be accomplished, for example, by applying elevated EGR. In other words, a percentage of the high PM benefit with the use of biodiesel can be traded for higher EGR rates, hence lower or possibly zero NO<sub>x</sub> levels.

## 441 Summary and Conclusions

The primary mechanisms of transient NO<sub>x</sub> emissions with biodiesel blends were identified and discussed based on both the differing properties of biodiesel with respect to petrodiesel, but also with regard to the discrepancies observed during transients. At the same time, a detailed statistical analysis of all driving cycles emission data up to the end of 2011 provided best-fit curves that demonstrated the engine/vehicle, driving cycle and feedstock influence on NO<sub>x</sub> emissions from biodiesel-blended fuels. The most important conclusions reached are:

- Confirming the principal observations during steady-state operation, for the majority
   of examined transients an increasing trend in NO<sub>x</sub> emissions is established with
   increasing biodiesel ratio in the fuel blend.
- In particular, it is the earlier start of injection, the more-advanced combustion, the
   lower radiative heat transfer and the higher oxygen availability that contribute
   towards a rising trend relative to petrodiesel operation.
- Irrespective of driving cycle type, the biodiesel impact on NO<sub>x</sub> emissions appears to be related to the fuel consumption or the average cycle load, with the emission penalty with biodiesel increasing for more aggressive cycles/driving patterns. This is due to the fact that steeper and more frequent accelerations or load increases lead to harsher turbocharger lag phases that provoke lower EGR rates.

- Biodiesels produced from unsaturated feedstocks appear to increase the NOx
   emission penalty, at least for older production engines.
- The use of injection retard, low-aromatic diesel base-fuel, cetane improvers, and carefully 'designed' feedstock can all aid in (at least partial) abatement of the usual NO<sub>x</sub> penalty observed with biodiesel combustion.

465 Owing to the lower calorific value of biodiesel, when an engine that has been 466 calibrated for neat diesel operation runs on biodiesel, a lower EGR rate is achieved, 467 therefore contributing towards an increase in NO<sub>x</sub> emissions in relation to the petroleum 468 diesel operation. Further, biodiesel's lower heating value and exhaust gas temperatures 469 also affect other engine subsystems operation (oxidation catalyst, VGT, diesel particulate 470 filter). This highlights the need for a revised calibration strategy when a diesel-tuned 471 engine is required to run on methyl ester (or any biofuel) blends. The same holds true for 472 the injection system that requires a different optimization to compensate for biodiesel's 473 higher values of density, bulk modulus of elasticity and speed of sound.

## 474 **Nomenclature**

| 475 | AFME  | Animal fat methyl ester                          |
|-----|-------|--------------------------------------------------|
| 476 | CN    | Cetane number                                    |
| 477 | DPF   | Diesel particulate filter                        |
| 478 | DTBP  | Di-tert-butyl peroxide                           |
| 479 | ECU   | Engine control unit                              |
| 480 | EGR   | Exhaust gas recirculation                        |
| 481 | EHN   | Ethyl-hexyl-nitrate                              |
| 482 | EPA   | Environmental Protection Agency                  |
| 483 | EU    | European Union                                   |
| 484 | FAME  | Fatty acid methyl ester                          |
| 485 | FTP   | Federal Test Procedure (heavy-duty engines)      |
| 486 | IN/IV | lodine number/iodine value                       |
| 487 | MY    | Model year                                       |
| 488 | NEDC  | New European driving cycle (light-duty vehicles) |
| 489 | NRTC  | Non-road transient cycle                         |
| 490 | NOx   | Nitrogen oxides                                  |
| 491 | PM    | Particulate matter                               |

| 492 | R <sup>2</sup> | Coefficient of determination                              |
|-----|----------------|-----------------------------------------------------------|
| 493 | RME            | Rapeseed methyl ester                                     |
| 494 | SME            | Soybean methyl ester                                      |
| 495 | TBHQ           | Tertiary-butyl-hydro-quinone                              |
| 496 | UDDS           | Urban dynamometer driving schedule (heavy-duty vehicles)  |
| 497 | VGME           | Vegetable methyl ester                                    |
| 498 | VGT            | Variable geometry turbocharger                            |
| 499 | WCME           | Waste-cooking methyl ester                                |
| 500 | WHTC           | Worldwide harmonized transient cycle (heavy-duty engines) |
| 501 |                |                                                           |
| 502 |                |                                                           |

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| 622 |                                                                                                     |

| 624 | Table 1 Comparison of key physical and chemical properties between biodiesel |
|-----|------------------------------------------------------------------------------|
| 625 | (Giakoumis 2013) and automotive diesel fuel                                  |
|     |                                                                              |

| Giakoumis 2013) a | and automotive | diesel fu | e |
|-------------------|----------------|-----------|---|
|-------------------|----------------|-----------|---|

| · · · · · · · · · · · · · · · · · · · |                                             |               |
|---------------------------------------|---------------------------------------------|---------------|
|                                       | Low-sulfur<br>automotive<br>diesel fuel     | Biodiesel     |
| Density/15°C (kg/m <sup>3</sup> )     | 835                                         | 870–890       |
| Kinematic viscosity/40°C (cSt)        | 2–3.5                                       | 3.5–6.2       |
| Cetane number                         | ~50                                         | 46–65         |
| Lower heating value (kJ/kg)           | ~43,000                                     | 36,500–39,500 |
| Oxygen content (% weight)             | 0                                           | 10–12         |
|                                       | <50                                         |               |
| Sulfur content (ppm)                  | <15 for ultra-<br>low sulfur<br>diesel fuel | <10           |
| Air-fuel equivalence ratio            | 14.5                                        | 12.5 *        |
| Latent heat of evaporation (kJ/kg)    | 265                                         | 230 *         |
| Molecular weight (kg/kmol)            | ~170                                        | 290 *         |
| Surface tension/40°C (N/m)            | 0.026                                       | 0.0285 *      |
| Boiling point (°C)                    | 180–360                                     | 345 *         |
| Bulk modulus of elasticity (bar)      | 16,000                                      | 17,500 *      |
| Flash point (°C)                      | 50–90                                       | 125–175       |

\* average values

Table 2. Summarization of NO<sub>x</sub> emissions best-fit quadratic curve coefficients A and B,
 coefficient of determination R<sup>2</sup> and standard error for all transient cycles, engine types and
 methyl esters (quadratic best-fit curve: y=Ax+Bx<sup>2</sup>).

| A=0.106, B=-0.000318                   |
|----------------------------------------|
| R <sup>2</sup> =0.13, Std. error=10.24 |
| A=0.170, B=0.000384                    |
| R <sup>2</sup> =0.48, Std. error=9.04  |
| A=0.007, B=0.000506                    |
| R <sup>2</sup> =0.11, Std. error=8.15  |
| A=0.068, B=0.000491                    |
| R <sup>2</sup> =0.54, Std. error=5.75  |
| A-0.005 B- 0.000763                    |
| A=0.003, B=-0.000703                   |
| R <sup>2</sup> =0.13, Std. e1101=7.87  |
| A=0.246, B=-0.00088                    |
| R <sup>2</sup> =0.24, Std. error=13.3  |
| A=0.103, B=-0.00031                    |
| R <sup>2</sup> =0.13, Std. error=9.71  |
| A=0.097, B=0.00014                     |
| R <sup>2</sup> =0.30, Std. error=7.57  |
|                                        |

**Table 3.** Degree of unsaturation, chain length and iodine number of various methyl esters

(average values from a statistical analysis of experimental data (Giakoumis 2013)).

| Animal fat      | Percentage of           | Degree of unsaturation | Chain  | FAME   |
|-----------------|-------------------------|------------------------|--------|--------|
| Animai lat      | unsaturated / saturated | (average number of     |        | iodine |
| or vegetable on | fatty acids             | double bonds)          | lengin | number |
| Beef tallow     | 52/ 48                  | 0.59                   | 17.37  | 54.5   |
| Canola          | 92 / 8                  | 1.33                   | 17.99  | 104    |
| Coconut         | 9 /91                   | 0.12                   | 13.12  | 7.8    |
| Corn            | 86 / 14                 | 1.45                   | 17.79  | 120.3  |
| Cottonseed      | 71 / 29                 | 1.27                   | 17.46  | 105.7  |
| Jatropha        | 78 / 22                 | 1.15                   | 17.79  | 99     |
| Karanja         | 75 / 25                 | 1.04                   | 18.08  | 85.2   |
| Mahua           | 54 / 46                 | 0.69                   | 17.57  | 70.8   |
| Palm            | 51 / 49                 | 0.62                   | 17.08  | 53.1   |
| Peanut          | 82 / 18                 | 1.16                   | 18.04  | 80.5   |
| Rapeseed        | 93 / 7                  | 1.32                   | 18.08  | 111.7  |
| Safflower       | 90 / 10                 | 1.65                   | 17.85  | 136.7  |
| Soybean         | 84 / 16                 | 1.51                   | 17.79  | 126.2  |
| Sunflower       | 89 / 11                 | 1.57                   | 17.92  | 128.6  |
| Waste cooking   | 76 / 24                 | 1.09                   | 17.69  | 85.2   |

| 644        | Figure Captions                                                                              |
|------------|----------------------------------------------------------------------------------------------|
| 645        |                                                                                              |
| 646        | Fig. 1. $NO_x$ data points (observations) from all available transient measurements up to    |
| 647        | the end of 2011.                                                                             |
| 648        | Fig. 2. Percentage of positive (increasing) $NO_x$ emission observations with biodiesel over |
| 649        | the reference diesel operation.                                                              |
| 650        | Fig. 3. Collective statistical best-fit $NO_x$ curve from biodiesel blends combustion during |
| 651        | transient cycles.                                                                            |
| 652        | Fig. 4. Development of NO emissions during a typical medium/heavy duty turbocharged          |
| 653        | diesel engine acceleration (left) and hot starting event (right) (6 cylinder engine; total   |
| 654        | displacement: 5,958 L; speed range: 800–2600 rpm; max. power: 177kW@2600rpm)                 |
| 655        | Fig. 5. Effect of driving cycle pattern on the EGR rate and the respective NOx emissions     |
| 656        | for a 2006, medium-duty diesel engine (adapted from Sze et al. (2007)).                      |
| 657        | Fig. 6. Collective best-fit NOx results for various transient cycles and engine model years. |
| 658        | Fig. 7. Effect of iodine number on NOx emissions (adapted from Peterson et al. (2000);       |
| 659        | adapted from McCormick et al. (2001; 2005); data from Knothe et al. (2006); adapted from     |
| 660        | Bakeas et al. (2011)).                                                                       |
| 661        | Fig. 8. Collective best-fit $NO_x$ results for various biodiesel feedstocks.                 |
| 662        |                                                                                              |
| 663        |                                                                                              |
| 664<br>665 |                                                                                              |
| 666        |                                                                                              |
| 667        |                                                                                              |
|            |                                                                                              |







Figure 2.





Figure 5.



693

