



## OPTICAL ENGINEERING

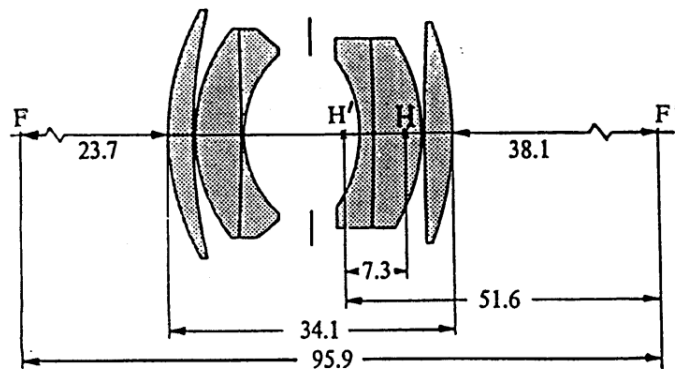
### Problem Set No. 3

Due Date: **April 23, 2024**

*(The problems that should be submitted have nonzero weighting factors)*

#### Problem 1 (Nikkor-H Imaging): [0%]

A point object is located to the left of the Nikkor-H lens (its characteristics are shown in the figure below). The object is 400mm along the optical axis (as measured from the front surface of the first lens on the left) and 10mm transverse to the optical axis. (a) Calculate the position of the image along the axis (as measured from the back surface of the rear (rightmost) lens). (b) Calculate the transverse magnification. (c) Is the image real or virtual? (d) Draw a ray trace diagram showing the parallel and the focal rays.



#### Problem 2 (Imaging with a Practical Lens): [0%]

It is desired to inspect items on a production line conveyor belt. These items are to be imaged on the photocathode face of a vidicon tube at a reproduction ratio of 10 to 1. The Nikkor-H lens (shown in the previous figure) is to be used for the required imaging. The light travels through the lens in the direction for which the lens was designed (from left-to-right). (a) Calculate the distance from the items to the front glass surface of the lens. (b) Calculate the distance from the back glass surface of the lens to the photocathode (or CCD array) face.

### Problem 3 (Spherical Aberration): [0%]

Assume a family of thin lenses of focal length  $f$  (for all lenses of the family). The shape factor [ $\sigma = (R_2+R_1)/(R_2-R_1)$ ] of these lenses varies in the interval  $[-2, +2]$ . Calculate (with the help of a computer) the focal distance difference between the paraxial focal distance and the focal distance including the 3rd order spherical aberration, as a function of the shape factor (it should be a curve similar to the one shown below). The curve should be calculated using Equation A and suitably using Equation B (for both refractive surfaces of the lens). Assume that  $n_1 = 1, n_2 = 1.517, f = 10$  cm. Assume that the object is a great ("infinite") distance and examine the cases that  $h = 1$  cm,  $h = 5$  cm, and  $h = 10$  cm. Determine and plot the curves that correspond to Equation A (Pedrotti) and Equation B (Jenkins & White) for all three cases of  $h$  as a function of  $\sigma$ . Determine the optimum shape factor for the minimization of the spherical aberration and compare it with the curves that you have determined in the previous questions.

- A. Pedrotti Equation for Spherical Aberration (3<sup>rd</sup> order correction) of a thin lens of refractive index  $n$  in air:

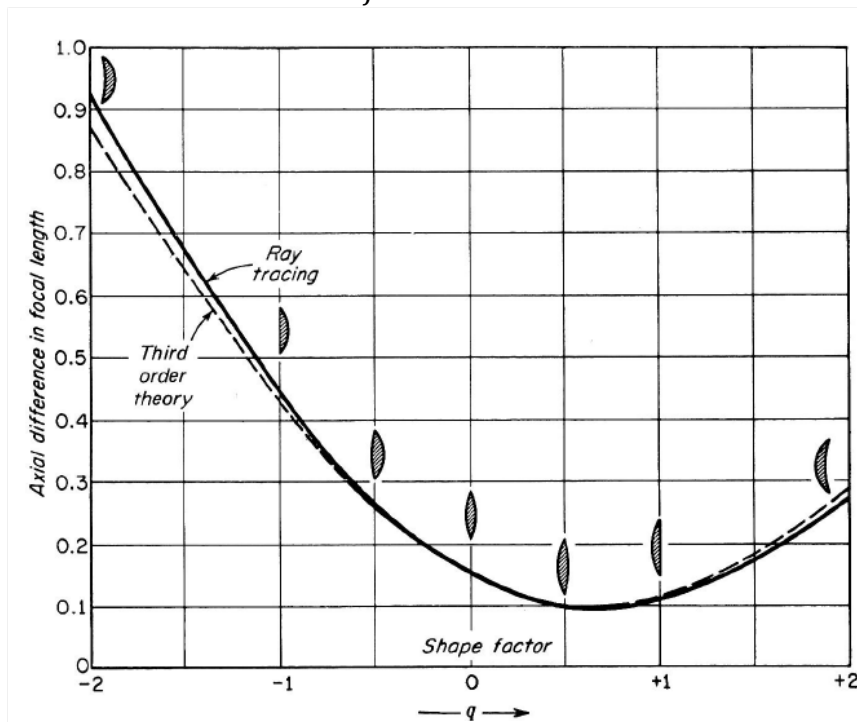
$$\frac{1}{s'(h)} - \frac{1}{s'(0)} = \frac{h^2}{8f^3} \frac{1}{n(n-1)} \left[ \frac{n+2}{n-1} \sigma^2 + 4(n+1)p\sigma + (3n+2)(n-1)p^2 + \frac{n^3}{n-1} \right]$$

$$p = \frac{s'-s}{s'+s}, \quad \sigma = \frac{R_2+R_1}{R_2-R_1}$$

- B. Jenkins and White Equation for Spherical Refractive Surface of Radius  $R$  (and refractive indices  $n_1$  and  $n_2$ ) (corrected):

$$\frac{n_1}{s} + \frac{n_2}{s'} = \frac{n_2 - n_1}{R} + \frac{h^2}{2} \left[ \frac{R}{f'} \frac{n_1^2}{n_2} \left( \frac{1}{s} + \frac{1}{R} \right)^2 \left( \frac{1}{R} + \frac{n_2 + n_1}{n_1 s} \right) \right]$$

$$\frac{n_2}{f'} = \frac{n_2 - n_1}{R}$$



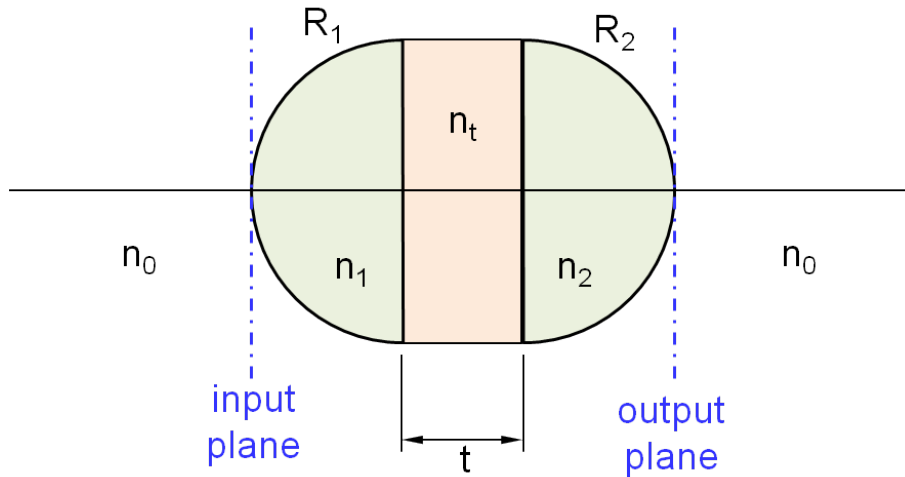
#### Problem 4 (Two Hemi-Spherical Lenses separated by a Distance): [0%]

Two hemispherical lenses of radii  $R_1$  and  $R_2$  have refractive indices  $n_1$  and  $n_2$  respectively. The two lenses are separated by a homogeneous region of thickness  $t$  and of refractive index  $n_t$  (as it can be seen in the following figure). The material to the left and right of the hemispherical lenses is homogeneous with a refractive index of  $n_0$ . The input and output planes of this system are also shown in the figure.

(a) [4%] Find the matrix ABCD of this optical system (for this question the utilization of a symbolic computation program - as *MatLab* or *Mathematica* - could be useful in order to avoid manual matrix multiplications).

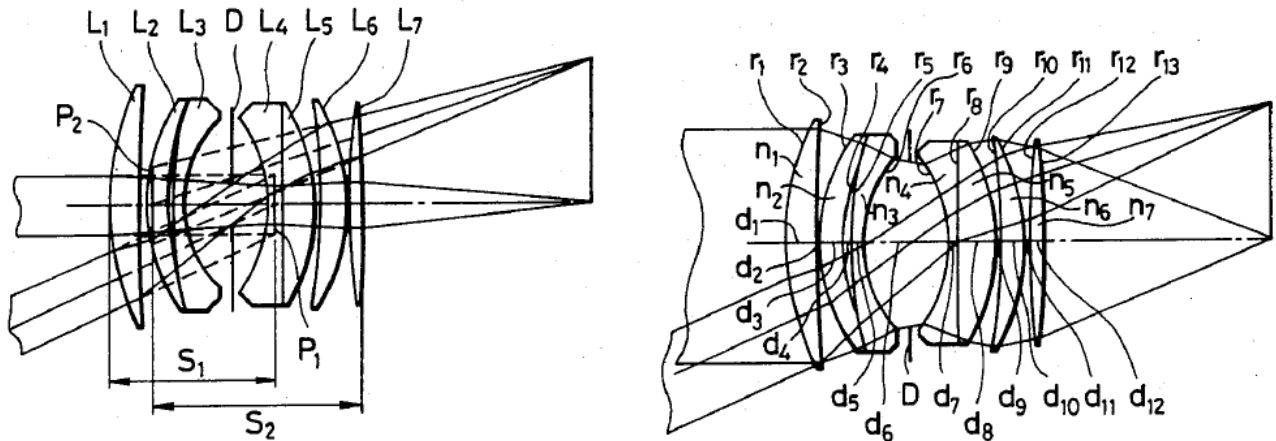
(b) [3%] If  $|R_1| = 50\text{mm}$ ,  $|R_2| = 50\text{mm}$ ,  $n_1 = 1.50$ ,  $n_2 = 1.50$ ,  $n_t = 1.00$ ,  $n_0 = 1.00$ , and  $0 \leq t \leq 100\text{mm}$ , make a plot of the focal distance of the optical system,  $f_2$ , as a function of  $t$ . Furthermore, make a graphical representation of the distances of the principal points  $H_1$  and  $H_2$  as measured from the input plane as a function of  $t$ .

(c) [3%] Repeat (b) when  $n_1 = 1.50$  while all other parameters remain unchanged.



### Problem 5 (Nikon Photographic Lens): [30%]

The Nikon photographic lens, *Nikkor 100mm*, is described in the US patent *1984/4448497*. In this patent various implementations of the system (which is shown in the first two figures) are listed. The implementation to be used in this problem corresponds to the one described in the first embodiment. The system is comprised from 7 thick lenses. The data from the patent are shown in the following table:



#### First Embodiment

Focal length  $f = 100$       F-number 1.4      Angle of view  $2\omega = 46^\circ$   
d-line

$r_1 = +78.360$	$d_1 = 9.8837$	$n_1 = 1.79668$	$\nu_1 = 45.5$
$r_2 = +469.477$	$d_2 = 0.1938$	$n_2 = 1.77279$	$\nu_2 = 49.4$
$r_3 = +50.297$	$d_3 = 9.1085$	$n_3 = 1.67270$	$\nu_3 = 32.2$
$r_4 = +74.376$	$d_4 = 2.9457$		
$r_5 = +138.143$	$d_5 = 2.3256$	$n_4 = 1.7400$	$\nu_4 = 28.3$
$r_6 = +34.326$	$d_6 = 29.0698$	$n_5 = 1.77279$	$\nu_5 = 49.4$
$r_7 = -34.407$	$d_7 = 1.9380$		
$r_8 = -2906.977$	$d_8 = 12.4031$	$n_6 = 1.78797$	$\nu_6 = 47.5$
$r_9 = -59.047$	$d_9 = 0.3876$		
$r_{10} = -150.021$	$d_{10} = 8.3333$	$n_7 = 1.78797$	$\nu_7 = 47.5$
$r_{11} = -57.890$	$d_{11} = 0.1938$		
$r_{12} = +284.630$	$d_{12} = 5.0388$		
$r_{13} = -253.217$			

Back focal length 74.1  
Full length of lens  $l = 81.8217$   
 $f_1 = 116.8$   
 $f_2 = 172.6$   
 $f_6 = 115.0$

In the top figures the system of the 7 thick lenses is shown (L1-L7 listed from left to right). The table contains the information of the lenses and their separations. Specifically, in the first column the spherical surface radius (in mm – positive or negative according to the convention for convex and concave surfaces) is listed (from left to right) for the left and right surface of each lens. The second column lists the separation in mm of the current spherical surface to the next. It is mentioned that lenses L4 and L5 are cemented and therefore the right surface of the L4 coincides with the left surface of the L5 lens. All the calculations of the problem are according to the paraxial optics approximation. The third column lists the refractive index of the corresponding lens for the wavelength of the *d*-line ( $\lambda_0=587.6\text{nm}$ ). The last column includes the *Abbe* number of the lens material (however, material dispersion will not be used in this problem).

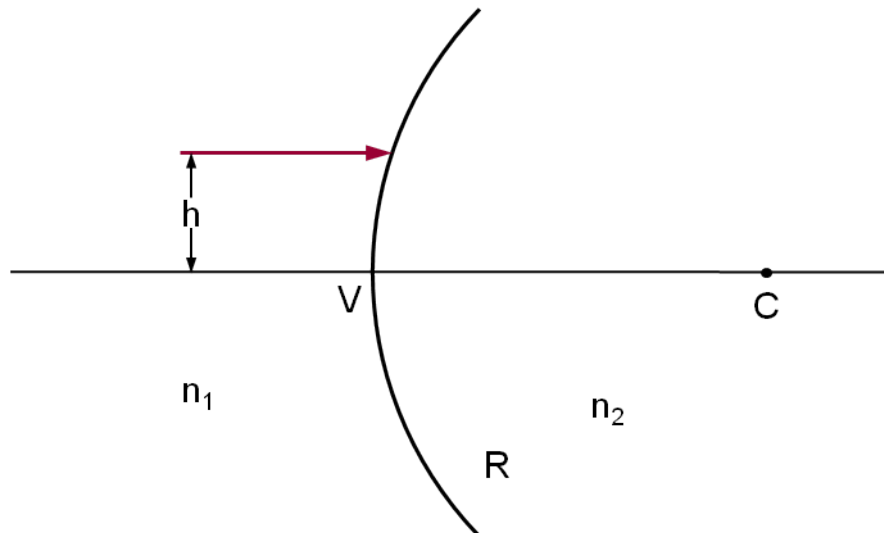
(a) [5%] At the bottom of the given table the focal lengths of lenses L1, L2, και L6, ( $f_1$ ,  $f_2$ , και  $f_6$ ), are listed in mm. Verify the results of the table.

(b) [18%] Find the *cardinal points* ( $H_1$ ,  $F_1$ ,  $N_1$ ,  $H_2$ ,  $N_2$ ,  $F_2$ ) of the lens system with input plane the left surface of the first lens (L1) and output plane the right surface of the last lens (L7). Find at what distance from the right surface of the L7 lens the image of an object at infinity will be formed. Verify that the value that you find corresponds to the «back focal length» listed in the table.

(c) [7%] Repeat (b) for an object at a distance of 1m from the left surface of the **L1** lens. In this case determine how much the whole system of lenses needs to be shifted (to the left or to the right) such that the image will be formed correctly at the «back focal length» found (where either the film or the digital detector is positioned). Now assume that «full frame» format (of film or detector) is utilized and the **CoC** = 0.029mm. If an **f-number of 1.4** is used find the *hyperfocal distance* **H** and the *depth-of-field* (**DoF**) for the object distance of a meter.

### Problem 6 (Spherical Aberration): [25%]

Assume a convex spherical refractive surface of radius  $R$  between two materials with refractive indices  $n_1$  and  $n_2$ , respectively ( $n_1 < n_2$ ). Consider a monochromatic ray impinging to the spherical interface from the left, parallel to the optical axis, and at a height of  $h$  as it is shown in the following figure.



(a) [5%] Find, without any approximation, at what distance the refracted ray will cross the optical axis and compare this result with the prediction of the paraxial approximation. I.e., if the refracted ray crosses the optical axis at point  $F$  find the  $VF$  distance without any approximations.

(b) [10%] If  $R = 100\text{mm}$ ,  $n_1 = 1.0$  (air) and  $n_2 = 1.5$  (glass) find distance  $VF$  for values of  $h = 0.5\text{mm}$ ,  $1\text{mm}$ ,  $5\text{mm}$ ,  $10\text{mm}$ ,  $25\text{mm}$ ,  $40\text{mm}$ ,  $50\text{mm}$ ,  $75\text{mm}$  and  $95\text{mm}$ . Furthermore, make a graphical representation of  $VF = VF(h)$  for the permitted range of  $h$  values. For the same interval show in the same graph the value of  $VF$  that is calculated based on the paraxial approximation. In addition, show in the same graph the third order approximation as it can be determined by the equation of Jenkins and White:

Jenkins and White Equation for Spherical Refractive Surface of Radius  $R$  (and refractive indices  $n_1$  and  $n_2$ ) (corrected):

$$\frac{n_1}{s} + \frac{n_2}{s'} = \frac{n_2 - n_1}{R} + \frac{h^2}{2} \left[ \frac{R}{f'} \frac{n_1^2}{n_2} \left( \frac{1}{s} + \frac{1}{R} \right)^2 \left( \frac{1}{R} + \frac{n_2 + n_1}{n_1 s} \right) \right]$$

$$\frac{n_2}{f'} = \frac{n_2 - n_1}{R}$$

(c) [10%] Now assume that the impinging ray is comprised of white light and therefore it contains all the wavelengths in the range from  $380$  to  $750\text{nm}$ . The refractive index of the air can be considered constant as a function of the wavelength. However, the glass refractive index can be well approximated by the following Sellmeier's formula (where the freespace wavelength is expressed in  $\mu\text{m}$ ):

$$n^2 = A + \frac{G_1 \lambda^2}{\lambda^2 - \lambda_1^2} + \frac{G_2 \lambda^2}{\lambda^2 - \lambda_2^2} + \frac{G_3 \lambda^2}{\lambda^2 - \lambda_3^2},$$

where the various constants have the following values:  $A = 1$ ,  $G_1 = 0.6961663$ ,  $G_2 = 0.4079426$ ,  $G_3 = 0.8974794$ ,  $\lambda_1 = 0.0684043\mu\text{m}$ ,  $\lambda_2 = 0.1162414\mu\text{m}$ , and  $\lambda_3 = 9.896161\mu\text{m}$ . If  $R = 100\text{mm}$ ,  $n_1 = 1.0$  (air) compute the distance  $VF = VF(\lambda)$  as a function of the freespace wavelength for  $h = 10$  and  $20$  mm. Calculate also  $VF$  using the paraxial approximation. Make a graph of  $VF$  as a function of  $\lambda$  for three cases ( $h = 10\text{mm}$ ,  $h = 20\text{mm}$ , and the paraxial approximation) in a common plot. Furthermore, show in a table the values of  $VF$  for  $h = 20\text{mm}$  along with its paraxial approximation for  $\lambda = 0.4, 0.5, 0.6$ , and  $0.7\mu\text{m}$ .

### Problem 7 (Spherical Aberration – Plano/Convex Lens): [15%]

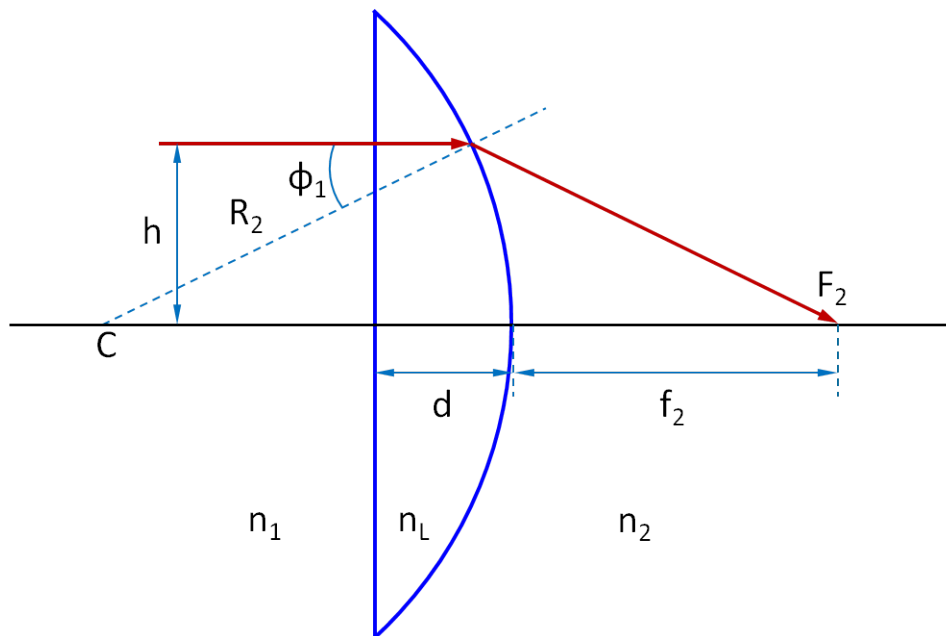
Assume a plano-convex lens of radius  $R_2$  ( $R_1 = \infty$ ) between two homogeneous materials of refractive indices  $n_1$  and  $n_2$  respectively. The refractive index of the lens is  $n_L$  ( $n_L > n_1, n_2$ ). The lens thickness is  $d$ . A monochromatic ray is incident on the flat surface parallel to the optical axis at a height  $h$  as it is shown in the figure.

(a) [5%] Find, without any approximation, at what distance the refracted ray will cross the optical axis and compare this result with the prediction of the paraxial approximation. I.e., if the refracted ray crosses the optical axis at point  $F_2$  find the  $f_2$  distance without any approximations as a function of  $h$ .

(b) [3%] Find the range of lens thickness  $d$  for which total internal reflections from the spherical surface are avoided for all allowable values of  $h$ .

(c) [3%] If  $R_2 = 25\text{mm}$ ,  $n_1 = n_2 = 1.0$  (air),  $n_L = 1.5$  (glass) and  $d = 5\text{mm}$ , find the distance  $f_2$  for all allowable values of  $h$ . What is the maximum allowable value of  $h$ ? Furthermore, make a graphical representation of  $f_2 = f_2(h)$  for the permitted range of  $h$  values. Show the paraxial approximation also.

(d) [4%] Make a ray diagram for various allowable heights  $h$  making usage of the numerical data of part (b). Repeat the ray diagram for lens thickness  $d = 10\text{mm}$ .



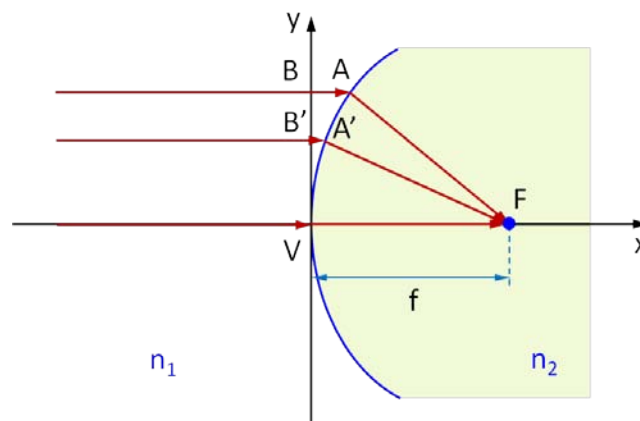
### Problem 8 (Keplerian and Galilean Telescope): [10%]

Assume two thin lenses that can be utilized to form a basic *Keplerian* or *Galilean* telescope. The focal distance of the objective lenses are  $f_o = 300\text{mm}$  (either for the *Keplerian* or the *Galilean*). The eyepiece lens has a focal length  $f_e = 25\text{mm}$  (for the *Keplerian* telescope) and  $f_e = -25\text{mm}$  (for the *Galilean* telescope). If both telescopes are used for object at long distance (at infinity) then they have the same (in magnitude) angular magnification  $-f_o/f_e$  with the *Keplerian* telescope forming an inverted virtual image and the *Galilean* telescope forming an upright virtual image. If both telescopes are used to image objects at finite images find for each telescope which is the minimum object distance in order to form a virtual image. If  $O_{\min}$  is the larger of their minimum object distances (in order to form virtual images) then select an object distance of  $2O_{\min}$  for both telescopes and utilizing the ABCD matrix method draw a ray diagram showing the image formation for each telescope. Furthermore, in the latter case find the position and the transverse magnification of the final virtual image.

### Problem 9 (Refractive Surface without Spherical Aberration): [10%]

The purpose of this problem is to design a refractive surface that does not suffer from spherical aberration. This means that parallel to the optical axis rays will focus to the same point (at distance  $f$  from the vertex of the surface) independently of the height that they incident on the refractive surface.

- (a) [5%] Find the refractive surface  $y=y(x)$  between two media with refractive indices  $n_1$  and  $n_2$  (where  $n_2 > n_1$ ) that does not suffer from spherical aberration. Show that the surface is an ellipse and define its equation in the  $xy$  plane. In addition, plot the surface in the  $xy$  plane. Assume that  $n_1 = 1.0$ ,  $n_2 = 1.5$ , and  $f = 50\text{mm}$ .
- (b) [5%] Now in order to validate your design assume a ray incident on your design surface from the left at a height  $h$ . The ray is refracted at the refractive surface and intersects the optical axis at point F. Show ray diagrams of several rays at different heights  $h$  and verify that they all pass from the same point F which is located a distance  $f$  from the vertex of the surface. Use the previous numerical data for this question also.





## Problem 10 (Human Eye and Accommodation): [10%]

The simplified diagram of the human eye is shown in the diagram below. The parameters given are:

Refractive Indices:  $n_0 = 1$ ,  $n_1 = 1.376$ ,  $n_2 = 1.336$ ,  $n_3 = 1.417$ , and  $n_4 = 1.336$ .

Distances:  $d_0$  depends from the object distance from the,  $d_1 = 0.55\text{mm}$ ,  $d_2 = 3.26\text{mm}$ ,  $d_3$  depends on the accommodation level of the human lens (is the paraxial thickness of the human lens),  $d_4 = 16.60\text{mm}$ .

Radii of Curvature:  $R_1 = 7.7\text{mm}$ ,  $R_2 = 6.8\text{mm}$ ,  $R_3$  and  $R_4$  depend on the accommodation level of the human lens. From the book of Atchison & Smith (*Optics of the Human Eye*) the simplified approximate formula are given by:

$$x(A) = 1.052A - 0.00531A^2 + 0.000048564A^3$$

$$d_3 = 3.6 + 0.4 \frac{x(A)}{10.87} \quad (\text{in } mm)$$

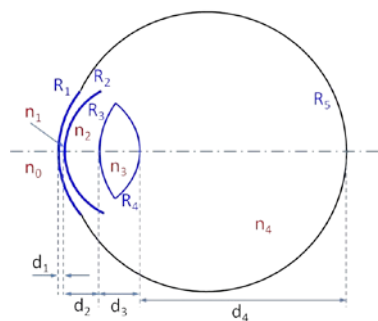
$$\frac{1}{R_3} = \frac{1}{10} - \left( \frac{1}{10} - \frac{1}{5.333} \right) \frac{x(A)}{10.87} \quad (\sigma\epsilon \text{ } mm^{-1})$$

$$\frac{1}{R_4} = -\frac{1}{6} - \left( -\frac{1}{6} + \frac{1}{5.333} \right) \frac{x(A)}{10.87} \quad (\sigma\epsilon \text{ } mm^{-1})$$

(a) [3%] Find the ABCD matrix with input plane the plane of the object (in distance  $d_0$  from cornea) and output plane the plane of the image inside the vitreous humor (area of refractive index  $n_4$ ). What is the image distance as measured from the right surface of the human lens? What is the refractive power  $n_4/f_2$  of the human eye?

(b) [7%] Find the parameter  $A$  such that the image always forms on the retina surface, i.e. for  $d_4 = 16.60\text{mm}$ , for object distance (i)  $d_0 = 25\text{m}$ , (ii)  $d_0 = 1.5\text{m}$ , and (iii)  $d_0 = 0.25\text{m}$ . In these three cases find the radii of curvature  $R_3$  and  $R_4$  and the thickness  $d_3$  as well as the refractive power  $n_4/f_2$  of the human eye.

Human eye optical parameters



**Note:** For all the problems that you use some kind of software (such as *MatLab* or others) it is **mandatory** (in order to gain full credit for the corresponding problem) to include in your answers a printout of the code that you have written and used.